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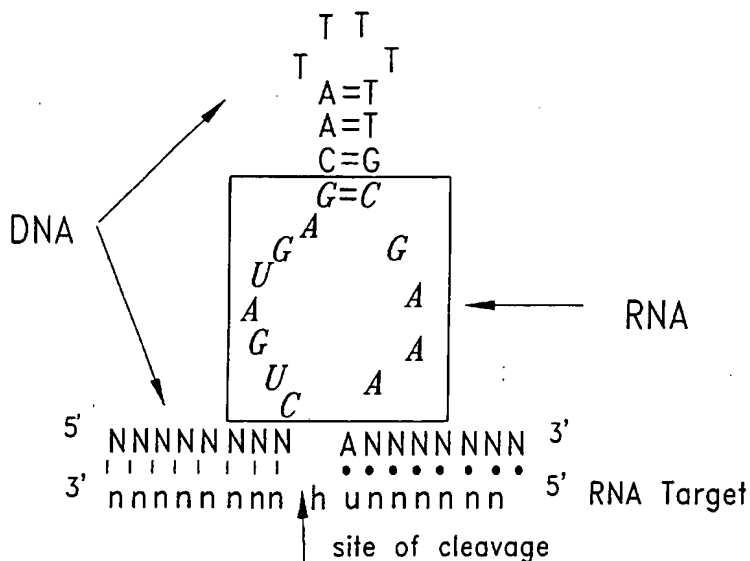
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**(54) Title:** RIBOZYME THERAPY FOR THE TREATMENT AND/OR PREVENTION OF RESTENOSIS



**(57) Abstract:** As an effective therapy for restenosis, this invention provides ribozymes and ribozyme delivery systems useful to treat or prevent restenosis. Methods of producing ribozymes and gene therapy utilizing these ribozymes also are provided.

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II

## RIBOZYME THERAPY FOR THE TREATMENT AND/OR PREVENTION OF RESTENOSIS

### TECHNICAL FIELD

The present invention relates generally to therapeutics, and more  
5 specifically, to compositions and methods which may be utilized in the treatment and/or  
prevention of restenosis.

### BACKGROUND OF THE INVENTION

In 1992, an excess of 300,000 angioplasties were performed in the  
United States. Restenosis is a major complication following angioplasty, occurring in  
10 30%-60% of patients. Indeed, restenosis is the single most significant problem in  
interventional cardiology and costs the health care system in excess of \$ 1 billion per  
year.

Restenosis following angioplasty is the result of local vascular injury,  
and is characterized by the local infiltration of platelets and macrophages, and local  
15 activation of the clotting system. These factors result in the elaboration of a number of  
biologic mediators of smooth muscle cell (SMC) migration and proliferation. These  
SMCs migrate into the vascular intima and begin to proliferate and produce  
extracellular matrix (ECM), resulting in the formation of a fibrocellular mass which can  
obstruct blood flow. Further, injury has been shown to induce the expression of a  
20 variety of oncogenes that are believed to play a role in the cellular response to this  
injury.

Thus, a need exists for an effective therapy to prevent and treat  
restenosis. The present invention satisfies this need and further provides other related  
advantages as well.

### 25 SUMMARY OF THE INVENTION

As an effective therapy for restenosis, this invention provides ribozymes  
and ribozyme delivery systems which are able to inhibit abnormal smooth muscle cell

proliferation in vascular tissue, and in particular, are suitable for treating or preventing restenosis. Methods of producing ribozymes and gene therapy utilizing these ribozymes also are provided.

Accordingly, in one aspect the present invention ribozymes having the  
5 ability to inhibit a cyclin or cell-cycle dependent kinase, with the proviso that said cell-cycle dependent kinase is not CDK1, PCNA or Cyclin B1. Particularly preferred cyclins or cell-cycle dependent kinases include CDK4, CDK2, and Cyclin D. Preferably, the ribozyme is a hammerhead or hairpin ribozyme, representative examples of which recognize the target site sequences set forth below, and in the Examples.  
10 Representative recognition sites are provided in Sequence I.D. Nos. 1 – 4119 and 4125 – 4377. In preferred embodiments, the present invention also provides nucleic acid molecule encoding such ribozymes; further preferably, the nucleic acid is DNA or cDNA. Even further preferably, the nucleic acid molecule is under the control of a promoter to transcribe the nucleic acid.

15 In another aspect, the present invention provides host cells containing the ribozymes described herein, vectors comprising the nucleic acid encoding the ribozymes described herein, and host cells comprising such a vector. Preferably, the vector is a plasmid, a virus, retrotransposon, a cosmid or a retrovirus. In one embodiment where the vector is a retroviral vector, the nucleic acid molecule encoding  
20 the ribozyme under the control of a promoter, which is preferably a pol III promoter, further preferably a human tRNA<sup>Val</sup> promoter or an adenovirus VA1 promoter, is inserted between the 5' and 3' long terminal repeat sequences of the retrovirus.

The present invention also provides a host cell stably transformed with such a retroviral vector. Preferably, the host cell is a murine or a human cell.

25 In a further aspect, the present invention provides methods for producing a ribozyme, the ribozyme being able to treat or prevent restenosis, which method comprises providing a nucleic acid molecule (*e.g.*, DNA) encoding the ribozyme under the transcriptional control of a promoter, and transcribing the nucleic acid molecule to produce the ribozyme. Preferably, the method further comprises purifying the ribozyme  
30 produced. The ribozyme may be produced *in vitro*, *in vivo* or *ex vivo*.

In yet another aspect, the present invention provides methods of treating or preventing restenosis, which method comprises introducing into the cell an effective amount of the ribozymes described herein. In one embodiment, such methods comprise introducing into the cell an effective amount of DNA encoding a ribozyme as described  
5 herein and transcribing the DNA to produce the ribozyme. Preferably, the cell is a human cell.

In still a further aspect, the present invention provides methods of treating or preventing restenosis are provided, which methods comprise introducing into the cell an effective amount of a nucleic acid molecule (*e.g.*, DNA) encoding a  
10 ribozyme as described herein and transcribing the DNA to produce the ribozyme. Preferably, the cell is a human cell.

In preferred embodiments, the methods further comprise administering the cell transduced with a retroviral vector to a mammal of the same species as that from which the transduced cell was obtained. In other preferred embodiments, the cell  
15 transduced with the retroviral vector has been obtained from the mammal receiving the transduced cell.

These and other aspects of the present invention will become evident upon reference to the following detailed description and attached drawings. In addition, various references are set forth herein that describe in more detail certain procedures or  
20 compositions (*e.g.*, plasmids, etc.), and are therefore incorporated by reference in their entirety as if each were individually noted for incorporation.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a schematic illustration of which shows the general structure of a chimeric DNA/RNA ribozyme (SEQ ID NOs: 4385 and 4386).

25 Figure 2 is a photograph of a gel which shows the stability of chimeric ribozymes PN30003, 30004, and 30005 in human vascular smooth muscle cell lysate.

Figure 3 is a photograph of a gel which shows the stability of chimeric ribozymes PN30003 and 30005 in serum.

Figure 4 is a schematic illustration of vector pLNT-Rz.

Figure 5 is a schematic illustration of a representative hairpin ribozyme (SEQ ID NOs: 4387 and 4388).

Figure 6 is a graph which illustrates the effects of ribozymes on a balloon injured rat carotid artery.

5 Figure 7 is a graph which illustrates the effects of ribozymes on a balloon injured rat carotid artery.

## DETAILED DESCRIPTION OF THE INVENTION

### DEFINITIONS

Prior to setting forth the invention, it may be helpful to an understanding thereof to first set forth definitions of certain terms that will be used hereinafter.

10 "Ribozyme" refers to a nucleic acid molecule which is capable of cleaving a specific nucleic acid sequence. Ribozymes may be composed of RNA, DNA, nucleic acid analogues (*e.g.*, phosphorothioates), or any combination of these (*e.g.*, DNA/RNA chimerics). Within particularly preferred embodiments, a ribozyme  
15 should be understood to refer to RNA molecules that contain anti-sense sequences for specific recognition, and an RNA-cleaving enzymatic activity.

"Ribozyme gene" refers to a nucleic acid molecule (*e.g.*, DNA) consisting of the ribozyme sequence which, when transcribed into RNA, will yield the ribozyme.

20 "Vector" refers to an assembly which is capable of expressing a ribozyme of interest. The vector may be composed of either deoxyribonucleic acids ("DNA") or ribonucleic acids ("RNA"). Optionally, the vector may include a polyadenylation sequence, one or more restriction sites, as well as one or more selectable markers such as neomycin phosphotransferase, hygromycin  
25 phosphotransferase or puromycin-N-acetyl-transferase. Additionally, depending on the host cell chosen and the vector employed, other genetic elements such as an origin of replication, additional nucleic acid restriction sites, enhancers, sequences conferring inducibility of transcription, and selectable markers, may also be incorporated into the vectors described herein.

“Nucleic acid” or “nucleic acid molecule” refers to any of deoxyribonucleic acid (DNA), ribonucleic acid (RNA), oligonucleotides, fragments generated by the polymerase chain reaction (PCR), and fragments generated by any of ligation, scission, endonuclease action, and exonuclease action. Nucleic acids can be composed of monomers that are naturally-occurring nucleotides (such as deoxyribonucleotides and ribonucleotides), or analogs of naturally-occurring nucleotides (*e.g.*,  $\alpha$ -enantiomeric forms of naturally-occurring nucleotides), or a combination of both. Modified nucleotides can have modifications in sugar moieties and/or in pyrimidine or purine base moieties. Sugar modifications include, for example, replacement of one or more hydroxyl groups with halogens, alkyl groups, amines, and azido groups, or sugars can be functionalized as ethers or esters. Moreover, the entire sugar moiety can be replaced with sterically and electronically similar structures, such as aza-sugars and carbocyclic sugar analogs. Examples of modifications in a base moiety include alkylated purines and pyrimidines, acylated purines or pyrimidines, or other well-known heterocyclic substitutes. Nucleic acid monomers can be linked by phosphodiester bonds or analogs of such linkages. Analogs of phosphodiester linkages include phosphorothioate, phosphorodithioate, phosphoroselenoate, phosphorodiselenoate, phosphoroanilothioate, phosphoranilidate, phosphoramidate, and the like. The term “nucleic acid” also includes so-called “peptide nucleic acids,” which comprise naturally-occurring or modified nucleic acid bases attached to a polyamide backbone. Nucleic acids can be either single stranded or double stranded.

“Isolated nucleic acid molecule” is a nucleic acid molecule that is not integrated in the genomic DNA of an organism. For example, a DNA molecule that encodes a gene that has been separated from the genomic DNA of a eukaryotic cell is an isolated DNA molecule. Another example of an isolated nucleic acid molecule is a chemically-synthesized nucleic acid molecule that is not integrated in the genome of an organism.

“Promoter” is a nucleotide sequence that directs the transcription of a structural gene. Typically, a promoter is located in the 5' region of a gene, proximal to the transcriptional start site of a structural gene. If a promoter is an inducible promoter,

then the rate of transcription increases in response to an inducing agent. In contrast, the rate of transcription is not regulated by an inducing agent if the promoter is a constitutive promoter.

Restenosis is a major clinical problem and as the result of a need for repeat hospitalization, repeat angioplasty or bypass surgery, restenosis costs the nation's health care system in excess of \$1 billion per year. Restenosis is believed to comprise three important components. First, myointimal proliferation of vascular smooth muscle cells and the subsequent deposition of ECM results in a fibrocellular mass which can encroach upon the vascular lumen. Second, following acute angioplasty, there may be significant elastic recoil of the artery which contributes to a late loss of luminal dimension. Finally, platelets and thrombus adherent to the vascular wall may, over time, organize into a fibrocellular mass.

As discussed in more detail below, by interfering with cell-cycle control of cells which might otherwise proliferate following vascular injury, restenosis can be effectively treated and/or prevented. This invention accomplishes such by providing ribozymes and methods of using ribozymes that directly block cell cycle control following vascular injury. Representative examples of suitable ribozyme targets include cdk1 ribozyme binding sites (SEQ ID NOS: 1-149); cdk2 ribozyme binding sites (SEQ ID NOS: 150-3010); cdk3 ribozyme binding sites (SEQ ID NOS: 302-405); cdk4 ribozyme binding sites (SEQ ID NOS: 406-526); cdk6 ribozyme binding sites (SEQ ID NOS: 527-665); cdk7 ribozyme binding sites (SEQ ID NOS: 666-866); cdk8 ribozyme binding sites (SEQ ID NOS: 867-1112); cdk-we-hu ribozyme binding sites (SEQ ID NOS: 1113-1408); cyclin A2 ribozyme binding sites (SEQ ID NOS: 1409-1614); cyclin C ribozyme binding sites (SEQ ID NOS: 1615-1819); cyclin D1 ribozyme binding sites (SEQ ID NOS: 1820-1889); cyclin D2 ribozyme binding sites (SEQ ID NOS: 1890-1975); cyclin D3 ribozyme binding sites (SEQ ID NOS: 1976-2053); cyclin E ribozyme binding sites (SEQ ID NOS: 2054-2318); cyclin F ribozyme binding sites (SEQ ID NOS: 2319-2561); cyclin G1 ribozyme binding sites (SEQ ID NOS: 2562-2787); cyclin H ribozyme binding sites (SEQ ID NOS: 2788-2964); cyclin A1 ribozyme binding sites (SEQ ID NOS: 2965-3257); cyclin B1 ribozyme binding sites (SEQ ID



NOS: 3258-3478); cdc25 hs ribozyme binding sites (SEQ ID NOS: 3479-3854); PCBA HH ribozyme binding sites (SEQ ID NOS: 3855-4115); and chimeric hairpin ribozymes: SEQ ID NOS: 4116-4119).

5

### RIBOZYMES

As noted above, the present invention provides ribozymes having the ability to cleave or otherwise inhibit nucleic acid molecules which are either directly, or indirectly (e.g., they encode proteins) involved in cell-cycle control (e.g. recognition sites of Sequence I.D. Nos. 1 - 4119 and 4125 - 4377. Several different types of ribozymes may be constructed for use within the present invention, including for example, hammerhead ribozymes (Rossi, J.J. et al., *Pharmac. Ther.* 50:245-254, 1991) (Forster and Symons, *Cell* 48:211-220, 1987; Haseloff and Gerlach, *Nature* 328:596-600, 1988; Walbot and Bruening, *Nature* 334:196, 1988; Haseloff and Gerlach, *Nature* 334:585, 1988; Haseloff et al., U.S. Patent No. 5,254,678), hairpin ribozymes (Hampel et al., *Nucl. Acids Res.* 18:299-304, 1990, and U.S. Patent No. 5,254,678), hepatitis delta virus ribozymes (Perrotta and Been, *Biochem.* 31:16, 1992), Group I intron ribozymes (Cech et al., U.S. Patent No. 4,987,071) and RNase P ribozymes (Takada et al., *Cell* 35:849, 1983); (see also, WO 95/29241, entitled "Ribozymes with Product Ejection by Strand Displacement"; and WO 95/31551, entitled "Novel Enzymatic RNA Molecules."

Cech et al. (U.S. Patent No. 4,987,071, issued January 22, 1991) has disclosed the preparation and use of ribozymes which are based on the properties of the *Tetrahymena* ribosomal RNA self-splicing reaction. These ribozymes require an eight base pair target site and free guanosine (or guanosine derivatives). A temperature optimum of 50°C is reported for the endoribonuclease activity. The fragments that arise from cleavage contain 5'-phosphate and 3'-hydroxyl groups and a free guanosine nucleotide added to the 5'-end of the cleaved RNA.

In contrast to the ribozymes of Cech et al., particularly preferred ribozymes of the present invention hybridize efficiently to target sequences at physiological temperatures, making them suitable for use *in vivo*, and not merely as

research tools (see column 15, lines 18 to 42, of Cech et al., U.S. Patent No. 4,987,071). Thus, particularly preferred ribozymes for use within the present invention include hairpin ribozymes (for example, as described by Hampel et al., European Patent Publication No. 0 360 257, published March 26, 1990) and hammerhead ribozymes.

5 Briefly, the sequence requirement for the hairpin ribozyme is any RNA sequence consisting of NNNBN\*GUC(N)<sub>x</sub> (Sequence ID Nos. 4120-4124) (where x is any number from 6 to 10, N\*G is the cleavage site, B is any of G, C, or U, and N is any of G, U, C, or A). Representative examples of recognition or target sequences for hairpin ribozymes are set forth below in the Examples. Additionally, the backbone or common  
10 region of the hairpin ribozyme can be designed using the nucleotide sequence of the native hairpin ribozyme (Hampel et al., *Nucl. Acids Res.* 18:299-304, 1990) or it can be modified to include a "tetraloop" structure that increases stability and catalytic activity (see Example 2; see also Yu et al., *Virology* 206:381-386, 1995; Cheong et al., *Nature* 346:680-682, 1990; Anderson et al., *Nucl. Acids Res.* 22:1096-1100, 1994).

15 The sequence requirement at the cleavage site for the hammerhead ribozyme is any RNA sequence consisting of NUH (where N is any of G, U, C, or A and H represents C, U, or A) can be targeted. Accordingly, the same target within the hairpin leader sequence, GUC, is useful for the hammerhead ribozyme. The additional nucleotides of the hammerhead ribozyme or hairpin ribozyme is determined by the  
20 target flanking nucleotides and the hammerhead consensus sequence (see Ruffner et al., *Biochemistry* 29:10695-10702, 1990). This information, along with the sequences and disclosure provided herein, enables the production of hairpin ribozymes of this invention.

The ribozymes of this invention, as well as DNA encoding such  
25 ribozymes and other suitable nucleic acid molecules, described in more detail below, can be chemically synthesized using methods well known in the art for the synthesis of nucleic acid molecules (see e.g., Heidenreich et al., *J. FASEB* 70(1):90-6, 1993; Sproat, *Curr. Opin. Biotechnol.* 4(1):20-28, 1993). Alternatively, commercial suppliers such as Promega, Madison, Wis., USA, provide a series of protocols suitable for the production  
30 of nucleic acid molecules such as ribozymes.

Within one aspect of the present invention, ribozymes are prepared from a DNA molecule or other nucleic acid molecule (which, upon transcription, yields an RNA molecule) operably linked to an RNA polymerase promoter, *e.g.*, the promoter for T7 RNA polymerase or SP6 RNA polymerase. Accordingly, also provided by this invention are nucleic acid molecules, *e.g.*, DNA or cDNA, coding for the ribozymes of this invention. When the vector also contains an RNA polymerase promoter operably linked to the DNA molecule, the ribozyme can be produced *in vitro* upon incubation with the RNA polymerase and appropriate nucleotides. In a separate embodiment, the DNA may be inserted into an expression cassette, such as described in Cotten and Birnstiel, *EMBO J.* 8(12):3861-3866, 1989, and in Hempel et al., *Biochemistry* 28:4929-4933, 1989. A more detailed discussion of molecular biology methodology is disclosed in Sambrook et al., *Molecular Cloning: A Laboratory Manual*, Cold Spring Harbor Press, 1989.

During synthesis, the ribozyme can be modified by ligation to a DNA molecule having the ability to stabilize the ribozyme and make it resistant to RNase (Rossi et al., *Pharmac. Ther.* 50:245-254, 1991). Alternatively, the ribozyme can be modified to a phosphothio-analog for use in liposome delivery systems. This modification also renders the ribozyme resistant to endonuclease activity.

20

#### VECTORS

Use of ribozymes to treat restenosis involves introduction of functional ribozyme to the infected cell of interest. This can be accomplished by either synthesizing functional ribozyme *in vitro* prior to delivery, or, by delivery of DNA capable of driving ribozyme synthesis *in vivo*.

25

More specifically, within other aspects of the invention the ribozyme gene may be constructed within a vector which is suitable for introduction to a host cell (*e.g.*, prokaryotic or eukaryotic cells in culture or in the cells of an organism). Appropriate prokaryotic and eukaryotic cells can be transfected with an appropriate transfer vector containing the nucleic acid molecule encoding a ribozyme of this invention.

30

To produce the ribozymes with a vector *in vivo*, nucleotide sequences coding for ribozymes are preferably placed under the control of a eukaryotic promoter such as pol III (*e.g.*, tRNA or VA-1 from adenovirus), CMV, SV40 late, or SV40 early promoters. Within certain embodiments, the promoter may be a tissue or cell-specific promoter. Ribozymes may thus be produced directly from the transfer vector *in vivo*.

A wide variety of vectors may be utilized within the context of the present invention, including for example, plasmids, viruses, retrotransposons and cosmids. Representative examples include adenoviral vectors (*e.g.*, WO 94/26914, WO 93/9191; Yei et al., *Gene Therapy* 1:192-200, 1994; Kolls et al., *PNAS* 91(1):215-219, 1994; Kass-Eisler et al., *PNAS* 90(24):11498-502, 1993; Guzman et al., *Circulation* 88(6):2838-48, 1993; Guzman et al., *Cir. Res.* 73(6):1202-1207, 1993; Zabner et al., *Cell* 75(2):207-216, 1993; Li et al., *Hum Gene Ther.* 4(4):403-409, 1993; Caillaud et al., *Eur. J. Neurosci.* 5(10):1287-1291, 1993), adeno-associated type 1 ("AAV-1") or adeno-associated type 2 ("AAV-2") vectors (*see* WO 95/13365; Flotte et al., *PNAS* 90(22):10613-10617, 1993), hepatitis delta vectors, live, attenuated delta viruses and herpes viral vectors (*e.g.*, U.S. Patent No. 5,288,641), as well as vectors which are disclosed within U.S. Patent No. 5,166,320. Other representative vectors include retroviral vectors (*e.g.*, EP 0 415 731; WO 90/07936; WO 91/02805; WO 94/03622; WO 93/25698; WO 93/25234; U.S. Patent No. 5,219,740; WO 93/11230; WO 93/10218). General methods of using such vectors in gene therapy are well known in the art, *see*, for example, Larrick, J.W. and Burck, K.L., *Gene Therapy: Application of Molecular Biology*, Elsevier Science Publishing Co., Inc., New York, New York, 1991 and Kreigler, M., *Gene Transfer and Expression: A Laboratory Manual*, W.H. Freeman and Company, New York, 1990.

Further provided by this invention are vectors having more than one nucleic acid molecule encoding a ribozyme of this invention, each molecule under the control of a separate eukaryotic promoter (or, an Internal Ribosome Entry Site or "IRES") or alternatively, under the control of single eukaryotic promoter. Representative examples of other nucleic acid molecules which may be delivered by the vectors of the present invention include therapeutic molecules such as interferon (*e.g.*,

alpha, beta or gamma), as well as a wide variety of other cytokines or growth factors, and facilitators which assist or aid ribozymes in cleaving a target sequence by unwinding or otherwise limiting secondary folding which might otherwise inhibit the ribozyme (see Example 4). These vectors provide the advantage of providing multi-  
5 functional therapy against Restenosis, preferably with the various therapies working together in synergy.

Host prokaryotic and eukaryotic cells stably harboring the vectors described above also are provided by this invention. Suitable host cells include bacterial cells, rat cells, mouse cells, and human cells.

10

#### DELIVERY

Within certain aspects of the invention, ribozyme molecules, or nucleic acid molecules which encode the ribozyme, may be introduced into a host cell utilizing a vehicle, or by various physical methods. Representative examples of such methods  
15 include transformation using calcium phosphate precipitation (Dubensky et al., *PNAS* 81:7529-7533, 1984), direct microinjection of such nucleic acid molecules into intact target cells (Acsadi et al., *Nature* 352:815-818, 1991), and electroporation whereby cells suspended in a conducting solution are subjected to an intense electric field in order to transiently polarize the membrane, allowing entry of the nucleic acid  
20 molecules. Other procedures include the use of nucleic acid molecules linked to an inactive adenovirus (Cotton et al., *PNAS* 89:6094, 1990), lipofection (Felgner et al., *Proc. Natl. Acad. Sci. USA* 84:7413-7417, 1989), microprojectile bombardment (Williams et al., *PNAS* 88:2726-2730, 1991), polycation compounds such as polylysine, receptor specific ligands, liposomes entrapping the nucleic acid molecules, spheroplast  
25 fusion whereby *E. coli* containing the nucleic acid molecules are stripped of their outer cell walls and fused to animal cells using polyethylene glycol, viral transduction, (Cline et al., *Pharmac. Ther.* 29:69, 1985; and Friedmann et al., *Science* 244:1275, 1989), and DNA ligand (Wu et al., *J. of Biol. Chem.* 264:16985-16987, 1989). In one embodiment, the ribozyme is introduced into the host cell using a liposome.

Within further embodiments of the invention, additional therapeutic molecules (*e.g.*, interferon) or facilitators may be delivered utilizing the methods described herein. Such delivery may be either simultaneous to, or before or after the delivery of a ribozyme or vector expressing ribozymes.

5

#### PHARMACEUTICAL COMPOSITIONS

As noted above, pharmaceutical compositions (or "medicaments") also are provided by this invention. These compositions contain any of the above described ribozymes, DNA molecules, vectors or host cells, along with a pharmaceutically or  
10 physiologically acceptable carrier, excipient, or, diluent. Generally, such carriers should be nontoxic to recipients at the dosages and concentrations employed. Ordinarily, the preparation of such compositions entails combining the therapeutic agent with buffers, antioxidants such as ascorbic acid, low molecular weight (less than about 10 residues) polypeptides, proteins, amino acids, carbohydrates including  
15 glucose, sucrose or dextrans, chelating agents such as EDTA, glutathione and other stabilizers and excipients. Neutral buffered saline or saline mixed with nonspecific serum albumin are exemplary appropriate diluents. Particularly preferred carriers include cholesterol such as DOTAP:cholesterol.

Pharmaceutical compositions of the present invention may also be  
20 prepared to contain, or express (*e.g.*, if a vector), one or more additional therapeutic molecules (*e.g.*, interferon) or facilitators.

In addition, the pharmaceutical compositions of the present invention may be prepared for administration by a variety of different routes, including for example, intravenously (*e.g.*, into a vein by balloon catheter), or [on the outside of the  
25 vein]. In addition, pharmaceutical compositions of the present invention may be placed within containers, along with packaging material which provides instructions regarding the use of such pharmaceutical compositions. Generally, such instructions will include a tangible expression describing the reagent concentration, as well as within certain embodiments, relative amounts of excipient ingredients or diluents (*e.g.*, water, saline or  
30 PBS) which may be necessary to reconstitute the pharmaceutical composition

Pharmaceutical compositions are useful for both diagnostic or therapeutic purposes.

#### THERAPEUTIC METHODS

5               Methods of interfering with or preventing restenosis are also provided by this invention. More specifically, within one aspect of the present invention restenosis may be treated or prevented by administering to a warm-blooded animal (e.g., a human) a therapeutically effective amount of ribozyme, and/or, nucleic acid molecule or vector which encodes the ribozyme. Generally, such methods may be utilized to treat  
10 restenosis in vascular tissue; however, other tissues where stenosis is a problem may similarly be treated.

Such methods require contacting desired cells with an effective amount of ribozyme of this invention or, alternatively, by transducing the cell with an effective amount of vector having a nucleic acid molecule encoding the ribozyme. A suitable  
15 "therapeutically effective amount" will depend on the nature and extent of diseased tissue being treated, or, if a medical procedure is contemplated in which restenosis can be expected, prevented. Such "therapeutically effective amounts" can be readily determined by those of skill in the art using well known methodology, and suitable animal models (e.g. a rat or porcine model), or, based upon clinical trials. As utilized  
20 herein, a patient is deemed "treated" if restenosis is reversed or inhibited within a patient in a quantifiable manner. Similarly, a patient restenosis is deemed "prevented" if the likelihood of, or, occurrence of restenosis due to either disease or a medical or surgical intervention (e.g., balloon angioplasty, or, delivery of stent) decreases in a statistically significant manner.

25               When exogenously delivering the ribozyme, the RNA molecule can be embedded within a stable RNA molecule or in another form of protective environment, such as a liposome. Alternatively, the RNA can be embedded within RNase-resistant DNA counterparts. Cellular uptake of the exogenous ribozyme can be enhanced by attaching chemical groups to the DNA ends, such as cholesteryl moieties (Letsinger  
30 et al., *P.N.A.S., U.S.A.*, 1989).

In another aspect of the invention, the target cell is transduced under conditions favoring insertion of the vector into the target cell and stable expression of the nucleic acid encoding the ribozyme. The target cell can include but is not limited to vascular smooth muscle cells.

5                   Ribozymes, ribozyme genes, and vectors encoding such genes may readily be delivered to a desired site by a variety of methods, including for example, by balloon catheter, by stent, or by microinjection (see, e.g., U.S. Patent Nos. 5,840,064, 5,836,905 and 5,833,659). Further, the ribozyme, gene, or vector may be delivered transluminally, within the smooth muscle cells of the lumen, or exoluminally. In  
10 addition, the ribozyme, ribozyme gene or vector may be readily incorporated into a biodegradable polymer, sphere, pleuroinc gel, or the like to aid incorporation into cells.

The following examples are offered by way of illustration, and not by way of limitation.



EXAMPLES

## EXAMPLE 1

## CRITERIA FOR RIBOZYME SITE SELECTION

A. Selection of Sites for Hairpin Ribozymes

- 5 Hairpin ribozymes suitable for use within the present invention preferably recognize the following sequence of RNA: NNNBNGUCNNNNNNNNN (SEQ ID NO:4122) wherein the ribozyme is constructed so as to be complementary to the underlined sequences, and wherein B is C, G or U. The sequence GUC must be conserved for all hairpin ribozymes described below. Other nucleotides ("N" as  
10 underlined above) preferably have a high degree of sequence conservation in order to limit the need for multiple ribozymes against the same target site. Representative GUC hairpin ribozyme recognition sites for various genes are provided below in Tables 1-4.

Table 1

Hairpin Ribozyme Recognition Sites for cdc 2 kinase

| <u>NUCL. POS.</u> | <u>SEQUENCE</u> (5' to 3') | <u>I.D. No.</u> |
|-------------------|----------------------------|-----------------|
| 175               | ACTTCGTCATCCAAAT           | 4125            |
| 189               | ATATAGTCAGTCTTCA           | 4126            |
| 193               | AGTCAGTCTTCAGGAT           | 4127            |
| 289               | TCCTGGTCAGTACATG           | 4128            |
| 355               | GTTTTGTCACTCTAGA           | 4129            |
| 530               | CTGGGGTCAGCTCGTT           | 4130            |

**Table 2**  
Hairpin Ribozyme Recognition Sites for Cyclin B1

| <u>NUCL. POS.</u> | <u>SEQUENCE (5' to 3')</u> | <u>I.D. No.</u> |
|-------------------|----------------------------|-----------------|
| 12                | TCCGAGTCACCAGGAA           | 4131            |
| 281               | CCAGTGTCTGAGCCAG           | 4132            |
| 427               | CCTGTGTCAGGCTTTC           | 4133            |
| 558               | AAGCAGTCAGACCAA            | 4134            |
| 580               | ACTGGGTCGGGAAGTC           | 4135            |
| 678               | TGACTGTCTCCATTAT           | 4136            |
|                   | TTGGTGTCACTGCCAT           | 4137            |
|                   | CTTTGGTCTGGGTCGG           | 4138            |
|                   | TCTGGGTCGGCCTCTA           | 4139            |
|                   | TACCTGTCATATACTG           | 4140            |
|                   | ATGTAGTCATGGTAAA           | 4141            |
|                   | TGACTGTCAAGAACAA           | 4142            |

**Table 3**  
Hairpin Ribozyme Recognition Sites for PCNA

| <u>UCL. POS.</u> | <u>SEQUENCE (5' to 3')</u> | <u>I.D. No.</u> |
|------------------|----------------------------|-----------------|
|                  | GAGTGGTCGTTGTCTT           | 4143            |
|                  | TCGTTGTCTTTCTAGG           | 4144            |
| 18               | GCCTGGTCCAGGGCTC           | 4145            |
| 125              | GACTCGTCCCACGTCT           | 4146            |
| 158              | CTGCGGTCTGAGGGCT           | 4147            |
|                  | AAATTGTCACAGACAA           | 4148            |
| 867              | TTTCTGTCACCAAATT           | 4149            |
|                  | ATCTGGTCTAGTTAAC           | 4150            |
|                  | TTTTTGTCTCTTAGAA           | 4151            |
|                  | AAAGGGTCTTGACTCT           | 4152            |

**Table 4****Hairpin Ribozyme Recognition Sites for Lysyl Oxidase**

| <u>NUCL. POS.</u> | <u>SEQUENCE</u> (5' to 3') | <u>I.D. No.</u> |
|-------------------|----------------------------|-----------------|
| 225               | CCGCCGTCCCTGGTGC           | 4153            |
| 333               | CTGGAGTCACCGCTGG           | 4154            |
| 364               | CGCCCGTCACTGGTTC           | 4155            |
| 631               | GTACGGTCTCCCAGAC           | 4156            |
| 671               | CAGGCGTCCACGTACG           | 4157            |
| 730               | AAACTGTCTGGCCAGT           | 4158            |
| 970               | TTTCTGTCTTGAAGAC           | 4159            |

**B. Selection of Cleavage Sites for Hammerhead Ribozymes**

Hammerhead ribozymes suitable for use within the present invention preferably recognize the sequence NUH, wherein N is any of G, U, C, or A and H is C, U, or A. Representative hammerhead target sites include:

**Table 5****Hammerhead Ribozyme Recognition Sites for cdc 2 kinase**

| <u>NUCL. POS.</u> | <u>SEQUENCE</u> (5' to 3') | <u>I.D. No.</u> |
|-------------------|----------------------------|-----------------|
| 81                | TACAGGTCAAGTGGTA           | 4160            |
| 159               | AAATTTCTCTATTAAAG          | 4161            |
| 195               | AGTCAGTCTTCAGGAT           | 4162            |
| 532               | CTGGGGTCAGCTCGTT           | 4163            |
|                   | CGCGGAATAATAAGCCGG         | 4164            |
|                   | GGAATAATAAGCCGGGAT         | 4165            |
|                   | GCCGGGATCTACCATACC         | 4166            |
|                   | CGGGATCTACCATACCAT         | 4167            |
|                   | TCTACCATACCATTGACT         | 4168            |
|                   | CATACCATTGACTAACTA         | 4169            |
|                   | CATTGACTAACTATGGAA         | 4170            |
|                   | GACTAACTATGGAAGATT         | 4171            |

| NUCL. POS. | SEQUENCE (5' to 3') | I.D. No. |
|------------|---------------------|----------|
|            | TGGAAGATTATACCAAAA  | 4172     |
|            | GGAAGATTATACCAAAAT  | 4173     |
|            | AAGATTATACCAAAATAG  | 4174     |
|            | ACCAAAATAGAGAAAATT  | 4175     |
|            | GAGAAAATTGGAGAAGGT  | 4176     |
|            | GAGAAGGTACCTATGGAG  | 4177     |
|            | AGGTACCTATGGAGTTGTG | 4178     |
|            | TATGGAGTTGTGTATAAG  | 4179     |
|            | AGTTGTGTATAAGGGTAG  | 4180     |
|            | TTGTGTATAAGGGTAGAC  | 4181     |
|            | ATAAGGGTAGACACAAA   | 4182     |
|            | ACAAAACACAGGTCAAG   | 4183     |
|            | CTACAGGTCAAGTGGTAG  | 4184     |
|            | CAAGTGGTAGCCATGAAA  | 4185     |
|            | AAAAAAATCAGACTAGAA  | 4186     |
|            | ATCAGACTAGAAAGTGAA  | 4187     |
|            | GAAGGGGTTCTAGTACT   | 4188     |
|            | AAGGGGTTCTAGTACTG   | 4189     |
|            | GGGTTCTAGTACTGCAA   | 4190     |
|            | TTCCTAGTACTGCAATTC  | 4191     |
|            | ACTGCAATTCGGGAAATT  | 4192     |
|            | CTGCAATTCGGGAAATTT  | 4193     |
|            | CGGGAAATTTCTCTATTA  | 4194     |
|            | GGGAAATTTCTCTATTAA  | 4195     |
|            | GGAAATTTCTCTATTAAA  | 4196     |
|            | AAATTTCTCTATTAAAGG  | 4197     |
|            | ATTTCTCTATTAAAGGAA  | 4198     |
|            | TTCTCTATTAAAGGAACT  | 4199     |
|            | TCTCTATTAAAGGAACTT  | 4200     |
|            | AAGGAACTTCGTCATCCA  | 4201     |
|            | AGGAACTTCGTCATCCAA  | 4202     |
|            | AACTTCGTCATCCAAATA  | 4203     |

| <u>NUCL. POS.</u> | <u>SEQUENCE</u> (5' to 3') | <u>I.D. No.</u> |
|-------------------|----------------------------|-----------------|
|                   | TTCGTCATCCAAATATAG         | 4204            |
|                   | ATCCAAATATAGTCAGTC         | 4205            |
|                   | CCAAATATAGTCAGTCTT         | 4206            |
|                   | AATATAGTCAGTCTTCAG         | 4207            |
|                   | TAGTCAGTCTTCAGGATG         | 4208            |
|                   | GTCAGTCTTCAGGATGTG         | 4209            |
|                   | TCAGTCTTCAGGATGTGC         | 4210            |
|                   | GATGTGCTTATGCAGGATT        | 4211            |
|                   | ATGTGCTTATGCAGGATTC        | 4212            |
|                   | TGCAGGATTCCAGGTTAT         | 4213            |
|                   | GCAGGATTCCAGGTTATA         | 4214            |
|                   | TTCCAGGTTATATCTCAT         | 4215            |
|                   | TCCAGGTTATATCTCATC         | 4216            |
|                   | CAGGTTATATCTCATCTT         | 4217            |
|                   | GGTTATATCTCATCTTTG         | 4218            |
|                   | TTATATCTCATCTTTGAG         | 4219            |
|                   | TATCTCATCTTTGAGTTT         | 4220            |
|                   | TCTCATCTTTGAGTTTCT         | 4221            |
|                   | CTCATCTTTGAGTTTCTT         | 4222            |
|                   | CTTTGAGTTTCTTTCCAT         | 4223            |
|                   | TTTGAGTTTCTTTCCATG         | 4224            |
|                   | TTGAGTTTCTTTCCATGG         | 4225            |
|                   | GAGTTTCTTTCCATGGAT         | 4226            |
|                   | AGTTTCTTTCCATGGATC         | 4227            |
|                   | CCATGGATCTGAAGAAAT         | 4228            |
|                   | GAAGAAATACTTGGATTC         | 4229            |
|                   | GAAATACTTGGATTCTAT         | 4230            |
|                   | ACTTGGATTCTATCCCTC         | 4231            |
|                   | CTTGGATTCTATCCCTCC         | 4232            |
|                   | TGGATTCTATCCCTCCTG         | 4233            |
|                   | GATTCTATCCCTCCTGGT         | 4234            |
|                   | CTATCCCTCCTGGTCAGT         | 4235            |

| NUCL. POS. | SEQUENCE (5' to 3') | I.D. No. |
|------------|---------------------|----------|
|            | CTCCTGGTCAGTACATGG  | 4236     |
|            | TGGTCAGTACATGGATTC  | 4237     |
|            | ACATGGATTCTTCACTTG  | 4238     |
|            | CATGGATTCTTCACTTGT  | 4239     |
|            | TGGATTCTTCACTTGTTA  | 4240     |
|            | GGATTCTTCACTTGTTAA  | 4241     |
|            | TCTTCACTTGTTAAGAGT  | 4242     |
|            | TCACTTGTTAAGAGTTAT  | 4243     |
|            | CACTTGTTAAGAGTTATT  | 4244     |
|            | TTAAGAGTTATTTATACC  | 4245     |
|            | TAAGAGTTATTTATACCA  | 4246     |
|            | AGAGTTATTTATACCAA   | 4247     |
|            | GAGTTATTTATACCAAAT  | 4248     |
|            | AGTTATTTATACCAAATC  | 4249     |
|            | TTATTTATACCAAATCCT  | 4250     |
|            | CAAATCCTACAGGGGATT  | 4251     |
|            | CAGGGGATTGTGTTTTGT  | 4252     |
|            | GATTGTGTTTTGTCACTC  | 4253     |
|            | ATTGTGTTTTGTCACTCT  | 4254     |
|            | TTGTGTTTTGTCACTCTA  | 4255     |
|            | TGTTTTGTCACTCTAGAA  | 4256     |
|            | TTGTCACTCTAGAAGAGT  | 4257     |
|            | GTCACCTCTAGAAGAGTTC | 4258     |
|            | AGAAGAGTTCTTCACAGA  | 4259     |
|            | GAAGAGTTCTTCACAGAG  | 4260     |
|            | AGAGTTCTTCACAGAGAC  | 4261     |
|            | CAGAGACTTAAAACCTCA  | 4262     |
|            | AGAGACTTAAAACCTCAA  | 4263     |
|            | TAAAACCTCAAATCTCT   | 4264     |
|            | CTCAAATCTCTTGATTG   | 4265     |
|            | CAAATCTCTTGATTGAT   | 4266     |
|            | AAATCTCTTGATTGATGA  | 4267     |

| NUCL. POS. | SEQUENCE (5' to 3') | I.D. No. |
|------------|---------------------|----------|
|            | CTCTTGATTGATGACAAA  | 4268     |
|            | GGAACAATTAACTGGCT   | 4269     |
|            | TGGCTGATTTTGGCCTTG  | 4270     |
|            | GGCTGATTTTGGCCTTGC  | 4271     |
|            | GCTGATTTTGGCCTTGCC  | 4272     |
|            | TTTGGCCTTGCCAGAGCT  | 4273     |
|            | CCAGAGCTTTTGGGAATAC | 4274     |
|            | CAGAGCTTTTGGGAATACC | 4275     |
|            | AGAGCTTTTGGGAATACCT | 4276     |
|            | TTTGGGAATACCTATCAGA | 4277     |
|            | GAATACCTATCAGAGTAT  | 4278     |
|            | ATACCTATCAGAGTATAT  | 4279     |
|            | ATCAGAGTATATACACAT  | 4280     |
|            | CAGAGTATATACACATGA  | 4281     |
|            | GAGTATATACACATGAGG  | 4282     |
|            | CATGAGGTAGTAACACTC  | 4283     |
|            | GAGGTAGTAACACTCTGG  | 4284     |
|            | ACTCTGGTACAGATCTCC  | 4285     |
|            | GTACAGATCTCCAGAAGT  | 4286     |
|            | ACAGATCTCCAGAAGTAT  | 4287     |
|            | CCAGAAGTATTGCTGGGG  | 4288     |
|            | AGAAGTATTGCTGGGGTC  | 4289     |
|            | GCTGGGGTCAGCTCGTTA  | 4290     |
|            | GGTCAGCTCGTTACTCAA  | 4291     |
|            | CAGCTCGTTACTCAACTC  | 4292     |
|            | AGCTCGTTACTCAACTCC  | 4293     |
|            | TCGTTACTCAACTCCAGT  | 4294     |
|            | ACTCAACTCCAGTTGACA  | 4295     |
|            | ACTCCAGTTGACATTTGG  | 4296     |
|            | GTTGACATTTGGAGTATA  | 4297     |
|            | TTGACATTTGGAGTATAG  | 4298     |
|            | TTTGGAGTATAGGCACCA  | 4299     |

| NUCL. POS. | SEQUENCE (5' to 3') | I.D. No. |
|------------|---------------------|----------|
|            | TGGAGTATAGGCACCATA  | 4300     |
|            | GGCACCATATTTGCTGAA  | 4301     |
|            | CACCATATTTGCTGAACT  | 4302     |
|            | ACCATATTTGCTGAACTA  | 4303     |
|            | GCTGAACTAGCAACTAAG  | 4304     |
|            | TAGCAACTAAGAAACCAT  | 4305     |
|            | GAAACCATTTTCCATGGG  | 4306     |
|            | AAACCATTTTCCATGGGG  | 4307     |
|            | AACCATTTTCCATGGGGA  | 4308     |
|            | ACCATTTTCCATGGGGAT  | 4309     |
|            | ATGGGGATTCAGAAATTG  | 4310     |
|            | TGGGGATTCAGAAATTGA  | 4311     |
|            | AAATTGATCAACTCTTCA  | 4312     |
|            | GATCAACTCTTCAGGATT  | 4313     |
|            | TCAACTCTTCAGGATTTT  | 4314     |
|            | TTCAGGATTTTCAGAGCT  | 4315     |
|            | TCAGGATTTTCAGAGCTT  | 4316     |
|            | CAGGATTTTCAGAGCTTT  | 4317     |
|            | AGGATTTTCAGAGCTTTG  | 4318     |
|            | TCAGAGCTTTGGGCACTC  | 4319     |
|            | CAGAGCTTTGGGCACTCC  | 4320     |
|            | TGGGCACTCCCAATAATG  | 4321     |
|            | CTCCAATAATGAAGTGT   | 4322     |
|            | AGTGAATCTTTACAGGA   | 4323     |
|            | TGGAATCTTTACAGGACT  | 4324     |
|            | GGAATCTTTACAGGACTA  | 4325     |
|            | GAATCTTTACAGGACTAT  | 4326     |
|            | ACAGGACTATAAGAATAC  | 4327     |
|            | AGGACTATAAGAATACAT  | 4328     |
|            | ATAAGAATACATTTCCCA  | 4329     |
|            | GAATACATTTCCCAAATG  | 4330     |
|            | AATACATTTCCCAAATGG  | 4331     |



| NUCL. POS. | SEQUENCE (5' to 3') | I.D. No. |
|------------|---------------------|----------|
|            | ATACATTTCCCAAATGGA  | 4332     |
|            | GGAAGCCTAGCATCCCAT  | 4333     |
|            | CCTAGCATCCCATGTCAA  | 4334     |
|            | TCCCATGTCAAAAACCTTG | 4335     |
|            | CAAAAACCTGGATGAAAA  | 4336     |
|            | AAATGGCTTGGATTGCT   | 4337     |
|            | GCTTGGATTGCTCTCGA   | 4338     |
|            | CTTGGATTGCTCTCGAA   | 4339     |
|            | GATTGCTCTCGAAAATG   | 4340     |
|            | TTTGCTCTCGAAAATGTT  | 4341     |
|            | GAAAATGTTAATCTATGA  | 4342     |
|            | AAAATGTTAATCTATGAT  | 4343     |
|            | ATGTTAATCTATGATCCA  | 4344     |
|            | GTTAATCTATGATCCAGC  | 4345     |
|            | TCTATGATCCAGCCAAAC  | 4346     |
|            | AAACGAATTTCTGGCAAA  | 4347     |
|            | AACGAATTTCTGGCAAAA  | 4348     |
|            | ACGAATTTCTGGCAAAAT  | 4349     |
|            | CACTGAATCATCCATATT  | 4350     |
|            | TGAATCATCCATATTTTA  | 4351     |
|            | TCATCCATATTTTAATGA  | 4352     |
|            | ATCCATATTTTAATGATT  | 4353     |
|            | TCCATATTTTAATGATTT  | 4354     |
|            | CCATATTTTAATGATTTG  | 4355     |
|            | CATATTTTAATGATTTGG  | 4356     |
|            | TTAATGATTTGGACAATC  | 4357     |
|            | TAATGATTTGGACAATCA  | 4358     |
|            | TGGACAATCAGATTAAGA  | 4359     |
|            | GAAGATGTAGCTTTCTGA  | 4360     |

**Table 6**

## Hammerhead Ribozyme Recognition Sites for Cyclin B1

| <u>NUCL. POS.</u> | <u>SEQUENCE (5' to 3')</u> | <u>I.D. No.</u> |
|-------------------|----------------------------|-----------------|
| 14                | TCCGAGTCACCAGGAA           | 4361            |
| 283               | CCAGTGTCTGAGCCAG           | 4362            |
| 429               | CCTGTGTCAGGCTTTC           | 4363            |
| 560               | AAGCAGTCAGACAAA            | 4364            |
| 582               | ACTGGGTCGGGAAGTC           | 4365            |
| 680               | TGACTGTCTCCATTAT           | 4366            |

**Table 7**

## Hammerhead Ribozyme Recognition Sites for PCNA

| <u>NUCL. POS.</u> | <u>SEQUENCE (5' to 3')</u> | <u>I.D. No.</u> |
|-------------------|----------------------------|-----------------|
| 20                | GCCTGGTCCAGGGCTC           | 4367            |
| 127               | GACTCGTCCCACGTCT           | 4368            |
| 160               | CTGCGGTCTGAGGGCT           | 4369            |
| 869               | TTTCTGTCACCAAATT           | 4370            |

5

**Table 8**

## Hammerhead Ribozyme Recognition Sites for Lysyl Oxidase

| <u>NUCL. POS.</u> | <u>SEQUENCE (5' to 3')</u> | <u>I.D. No.</u> |
|-------------------|----------------------------|-----------------|
| 227               | CCGCCGTCCCTGGTGC           | 4371            |
| 335               | CTGGAGTCACCGCTGG           | 4372            |
| 366               | CGCCCGTCACTGGTTC           | 4373            |
| 633               | GTACGGTCTCCCAGAC           | 4374            |
| 673               | CAGGCGTCCACGTACG           | 4375            |
| 732               | AAACTGTCTGGCCAGT           | 4376            |
| 972               | TTTCTGTCTTGAAGAC           | 4377            |

**Table 9****Further Ribozyme Recognition Sites**

| <u>TARGET SITE</u>                  | <u>I.D. No.</u> |
|-------------------------------------|-----------------|
| cdk1 ribozyme binding sites:        | 1-149           |
| cdk2 ribozyme binding sites:        | 150-301         |
| cdk3 ribozyme binding sites:        | 302-405         |
| cdk4 ribozyme binding sites:        | 406-526         |
| cdk6 ribozyme binding sites:        | 527-665         |
| cdk7 ribozyme binding sites:        | 666-866         |
| cdk8 ribozyme binding sites:        | 867-1112        |
| cdk-we-hu ribozyme binding sites:   | 1113-1408       |
| cyclin A2 ribozyme binding sites:   | 1409-1614       |
| cyclin C ribozyme binding sites:    | 1615-1819       |
| cyclin D1 ribozyme binding sites:   | 1820-1889       |
| cyclin D2 ribozyme binding sites:   | 1890-1975       |
| cyclin D3 ribozyme binding sites:   | 1976-2053       |
| cyclin E ribozyme binding sites:    | 2054-2318       |
| cyclin F ribozyme binding sites:    | 2319-2561       |
| cyclin G1 ribozyme binding sites:   | 2562-2787       |
| cyclin H ribozyme binding sites:    | 2788-2964       |
| cyclin A1 ribozyme binding sites:   | 2965-3257       |
| cyclin B1 ribozyme binding sites:   | 3258-3478       |
| cdc25 hs ribozyme binding sites:    | 3479-3854       |
| PCBA HH ribozyme binding sites:     | 3855-4115       |
| Example chimeric hairpin ribozymes: | 4116-4119       |

## EXAMPLE 2

## CONSTRUCTION OF HAIRPIN RIBOZYMES

Two single-stranded DNA oligonucleotides are chemically synthesized such that, when combined and converted into double-stranded DNA, they contain the entire hairpin ribozyme, including nucleotides complementary to the target site. In addition, restriction enzyme recognition sites may be placed on either end to facilitate subsequent cloning. More specifically, the oligonucleotides are hybridized together and converted to double-stranded DNA using either Klenow DNA polymerase or Taq DNA polymerase. The resulting DNA is cleaved with restriction enzymes *Bam*HI and *Mlu*I, purified and cloned into vectors for *in vitro* transcription (pGEM, ProMega, Madison, Wis.) or for retrovirus production and mammalian expression (pLNL/MJT backbone). Representative hairpin ribozymes are set forth below (note that the underlined sequences indicate the sites wherein the ribozyme binds the target sequence):

- 15 cdc-2 530 (Sequence I.D. No. 4378)  
5' AACGAGCTAGAACCAGACCAGAGAAACACACGTTGTGGTATATTACCTGGTA 3'
- 20 Cyclin B1 281 (Sequence I.D. No. 4379)  
5' CTGGCTCAAGAACTGGACCAGAGAAACACACGTTGTGGTATATTACCTGGTA 3'
- Lysyl Oxidase 333 (Sequence I.D. No. 4380)  
5' CCAGCGGTAGAACCAGACCAGAGAAACACACGTTGTGGTATATTACCTGGTA 3'
- 25 PCNA 158 (Sequence I.D. No. 4381)  
5' AGCCCTCAAGAAGCAGACCAGAGAAACACACGTTGTGGTATATTACCTGGTA 3'

Defective ribozymes for use as controls may be constructed as described above, with the exception that the sequence AAA is changed to a UGC as shown in

30 Figure 2.

## EXAMPLE 3

## CONSTRUCTION OF HAMMERHEAD RIBOZYMES

Chimeric hammerhead ribozymes (i.e., RNA/DNA hybrids) are designed to have an appropriate NUH sequence for ribozyme cleavage. Ribozymes are chemically synthesized with the general structure shown in figure 1. The binding arms bases and stem loop comprise DNA, and the catalytic domain comprises RNA and/or 2'O methyl RNA bases. Specific examples of synthetic human hammerhead ribozymes targeting PCNA are shown below (DNA bases shown in upper case, RNA bases as lower case, and 2' O methyl RNA as lower case italics):

Sequence ID No. 4382: PN30003 PCN1-HH Length: 40  
5' GAGCCCTG cugaugag CAATTTTTTG cgaaa ACCAGGCGC 3'

Sequence ID No. 4383: PN30004 OptPCN1-ome HH Length: 38  
5' AGCCC *ug* cuga *u g agg* CCGTAAGG *cc ga a a cc* AGGCGC 3'

Sequence ID No. 4384: PN30005 StabPCN1-ome HH Length: 38  
5' AGCCC *ugcu* ga *u g agg* CCGTAAGG *cc ga a a cc* AGGCGC 3'

Alteration of the base composition at the stem loop and catalytic domain increases the catalytic activity of the chimeric ribozyme as assayed by in vitro cleavage (EXAMPLE 5). The substitution of 2' O methyl bases for RNA bases enhances the stability of the chimeric ribozymes in human vascular smooth muscle cell lysate, and in serum. The assay consists of incubating 10 µg of ribozyme with 100 µl of human vascular smooth muscle cell lysate at 37°C for times ranging from 30 seconds to 240 minutes, then separating the intact ribozyme from degradation products on a 15% PAGE, staining with SYBRgreen (Molecular Probes, Eugene, OR), and quantifying by phosphorimager analysis (Molecular Dynamics).

By making specific base modifications to the structure of the ribozymes, the half-life in cell lysate was increased sequentially from approximately 2.5 hours for PN30003, to 3.5 hours for PN30004, and to greater than 10 hours for PN30005 (figure 2). In serum, the half-life of PN30003 is less than 30 seconds. Specific base

modifications to ribozyme PN30005 increased the half-life in serum to greater than 4 hours (figure 3).

A scrambled sequence polynucleotide including the same composition of ribonucleotides and deoxyribonucleotides is also synthesized for each ribozyme to serve  
5 as a control with no catalytic activity. Lipofectin may be utilized to enhance the uptake of ribozyme into the cells.

#### EXAMPLE 4

##### CONSTRUCTION OF RIBOZYME MAMMALIAN EXPRESSION VECTORS

10 Plasmid pMJT (Yu et al., *Proc. Nat'l Acad. Sci. USA* 90:6340-6344, 1993), which contains the anti-U5 HIV ribozyme driven by the tRNA<sup>val</sup> RNA pol III promoter, is digested with *Bam*HI and *Mlu*I, and the vector purified from the ribozyme fragment. The hairpin ribozymes, as described above, are excised from the pGem vector with *Bam*HI and *Mlu*I, purified, and ligated into the empty pMJT vector. The  
15 resulting vector is designated pLNT-Rz (see Figure 4, and contains the Moloney LTR driving the neomycin resistance gene and the tRNA<sup>val</sup> RNA pol III promoter driving expression of the ribozyme.

#### EXAMPLE 5

##### IN VITRO CLEAVAGE ASSAYS

20

Hairpin or hammerhead ribozymes are tested for cleavage activity in an in vitro assay. Ribozyme and substrate synthesis is achieved by a new method of plasmid-independent in vitro transcription (Welch et al 1997). Briefly, oligonucleotides are synthesized (Retrogen, San Diego CA) with the T7 RNA polymerase promoter  
25 sequence contiguous with the ribozyme or substrate sequences, to allow for in vitro transcription of annealed oligonucleotides without the need for plasmid cloning. In vitro cleavage is tested in two hour time course reactions in 40 mM Tris pH 7.5, 10 mM MgCl<sub>2</sub>, 2 mM spermidine, at 37°C (Welch et al 1997). Reaction products are analyzed

by polyacrylamide gel electrophoresis (PAGE) and quantified by phosphorimager analysis (Molecular Dynamics). The Michaelis constant ( $K_m^{app}$ ) and  $k_2$  are determined for each ribozyme by performing single turnover kinetic experiments with ribozyme concentrations of 2-4 nM and substrate concentrations ranging from 2-200 nM, with analysis as above. The  $K_m^{app}$  and  $k_2$  for the ribozymes is estimated for a Hanes plot with  $R^2 > 0.90$ . Catalytic efficiency is calculated as  $k_2 / K_m^{app}$ . In vitro cleavage data for several representative ribozymes targeting specific sites in the CDK4, CDK2, CDC2, and cyclin B1 genes is shown in table 10.

**Table 10**

10 Summary of kinetics data for additional hairpin (HP) and hammerhead (HH) ribozyme candidates.

|                 | HH $k_2/K_m^{app}$ | HP $k_2/K_m^{app}$ |
|-----------------|--------------------|--------------------|
| CDK4            |                    |                    |
| cdk4-4 8.9      | 8.33               |                    |
| cdk4-4,8g6      |                    | 7.3                |
| cdk4-1 7.9      | 6.61               |                    |
|                 |                    |                    |
| CDC2            |                    |                    |
| cdc2-6/ 7,8 g7h | 14.4 pig, 31.9 hu  |                    |
| cdc2-6,8g7h     |                    | 6.25               |
|                 |                    |                    |
| CDK2            |                    |                    |
| CDK2-4 /7,9     | 27.37              |                    |
| CDK2-4,7        |                    | 10.76              |
|                 |                    |                    |
| CYCB1           |                    |                    |
| CycB 8.8        | 9.7                |                    |

## EXAMPLE 6

*IN VIVO* USE OF RIBOZYMESA. Experimental Protocol

All animals are treated according to the guidelines of the American  
5 Physiological Society. Briefly, a #2 Fr fogarty catheter is used to induce vascular injury  
in male Sprague-Dawley rats (400 to 500 g in weight). The rats are anesthetized and a  
cannula is introduced into the left common carotid artery via the external carotid artery.  
The common carotid artery is then injured by pulling the inflated fogarty catheter  
through it 3 times. A total of 100 animals are studied and divided into 6 different  
10 groups, as set forth below in Table 11:

Table 11

|         |        |  |
|---------|--------|--|
| Group 1 | (n=20) | balloon injury alone.  |
| Group 2 | (n=15) | balloon injury followed by infusion of saline through an isolated segment.   |
| Group 3 | (n=15) | balloon injury followed by local administration of CDC2 kinase ribozyme.   |
| Group 4 | (n=12) | balloon injury followed by local delivery of ribozyme to PCNA.   |
| Group 5 | (n=25) | balloon injury followed by administration of scrambled sequences of nucleotides resembling CDC2 kinase and PCNA ribozymes. |
| Group 6 | (n=12) | balloon injury followed by local administration of a combination of CDC2 kinase and PCNA ribozymes.                        |

After vessel injury of the common carotid artery, the injured segment is  
15 transiently isolated by temporary ligatures. Liposomes are used to encapsulate the  
ribozymes for delivery at the site of injury. Preferred liposomes include  
DOTAP:cholesterol (USSN 60/024,386, "Novel DNA:Liposome Complexes for  
Increased Systemic Delivery and Gene Expression", Smyth-Templeton, N et. al.),



Lipofectin (US 4,897,355, "Eppstein et. al."), and LT1 (Mirus Corp., Madison WI). Briefly, two hundred microliters of a combination of liposome and synthetic ribozyme (40 µg) are incubated in the isolated segment for 15 minutes. After the 15 minute incubation, the ligatures are removed. The external carotid artery is ligated and blood  
 5 flow is restored in the common carotid and the internal carotid artery. The skin wound is then repaired and the animals are transferred to their cages. The animals are then euthanized at 2 weeks and artery is harvested. It s perfusion fixed in formalin and sent for histopathology.

The histopathology sections are then subsequently analyzed by  
 10 quantitative histology. Using computer facilitated planimetry, the lumen area, area of the intima and area of the media are measured and intimal area to medial area ration is calculated. All values are expressed as mean  $\pm$  standard deviation and mean  $\pm$  standard errors of mean. A statistical comparison for each of these parameters is performed between all the groups.

15 Results of the quantitative histology are shown in Figures 6 and 7 and summarized in Table 12. Briefly, both the cross-sectional area of the intima and the ratio of the intimal area to medial area were significantly reduced in the ribozyme treated arteries compared with those treated with scrambled-sequence polynucleotides or with normal saline. The intimal hyperplasia was inhibited by the CDC-2 kinase  
 20 ribozyme, the PCNA ribozyme and their combination. The combination did not seem to have any additive effect.

Table 12

|       | NO. |       | INT   | I/M  |
|-------|-----|-------|-------|------|
|       |     |       |       |      |
| B1    | 14  | MEAN  | 13.50 | 0.83 |
|       |     | STDEV | 4.47  | 0.34 |
|       |     |       |       |      |
| B1+NS | 8   | MEAN  | 17.74 | 1.09 |

|          | NO. |       | INT      | I/M      |
|----------|-----|-------|----------|----------|
|          |     | STDEV | 6.52     | 0.42     |
|          |     |       |          |          |
| B1+RZ1   | 18  | MEAN  | 8.37     | 0.46     |
|          |     | STDEV | 5.04     | 0.24     |
|          |     |       |          |          |
| B1+SCR   | 19  | MEAN  | 13.24    | 0.92     |
|          |     | STDEV | 4.43     | 0.26     |
|          |     |       |          |          |
| B1+RZ2   | 10  | MEAN  | 7.21     | 0.43     |
|          |     | STDEV | 3.87     | 0.24     |
|          |     |       |          |          |
| B1+RZoom | 10  | MEAN  | 6.218783 | 0.41197  |
|          |     | STDEV | 1.875044 | 0.141841 |

## B. Additional Assays

### 1. Tissue Culture Protocols

Smooth muscle cells (SMC) are isolated from rat aorta and maintained in DMEM medium and 10% FBS. MTT assay: This is a quantitative colorimetric assay for cell proliferation and survival. Rat SMC's (passage 4-8) are seeded into 96 well plate (1500 cells/well) one day before treatment. Cells are then treated with 2 mM of CDC-2 kinase/PCNA ribozyme and 4 mM lipofectin for 1 hour. A second dose of ribozyme (4 mM) is added on day 2. On day 3, 10 mL of MTT is added into each well for 4 hours. The dye in the cells is extracted in DMSO after washing off any supernatant dye from the well. The OD is measured with microplate reader at 590 nm.

The MTT assay using PCNA ribozyme demonstrates significant inhibition of cell proliferation in cell culture as measured by uptake of MTT in comparison to scrambled sequence treated cells and control cells.

## 2. Quantification of mRNA

SMC's (4-8 passage) are seeded into culture dish one day prior to treatment. RNA is extracted from the cells after treatment with ribozyme, scrambled sequence polynucleotide, 10% FBS or serum free medium for 2 or 6 hours. RT-PCR is then performed utilizing RNA-PCR kit from Perkin Elmer. An appropriated primer sequence for CDC-2 kinase or PCNA is used for analysis. A beta-actin primer is used to ensure that the amount of RNA loaded in each well is approximately equal.

RT-PCR studies using CDC-2 kinase ribozyme show reduction in the CDC-2 kinase mRNA at 2 hours and further reduction at 6 hours in comparison to controls. To ensure that equivalent amount of RNA is loaded in each well, RT-PCR is performed using a primer for beta-actin which shows similar levels of beta-actin mRNA in each group.

## 3. Protein Expression

Three types of protein assays may also be accomplished, including a) Western blotting; b) Biosynthetic labeling with 35S labeled methionine followed by immunoprecipitation of radiolabelled protein as a measure of newly synthesized target protein; and c) Histone H1 kinase assay for CDC-2 kinase. The Histone H1 kinase assay is a functional assay for CDC-2 kinase and measures the amount of p32 labeled phosphate transferred from ATP to Histone H1.

From the foregoing, it will be appreciated that, although specific embodiments of the invention have been described herein for purposes of illustration, various modifications may be made without deviating from the spirit and scope of the invention. Accordingly, the invention is not limited except as by the appended claims.

## CLAIMS

We claim:

1. A hairpin or hammerhead ribozyme which cleaves RNA encoding with a cyclin or cell-cycle dependent kinase, with the proviso that said cell-cycle dependent kinase is not CDK1, PCNA or Cyclin B1.
2. The ribozyme according to claim 1 wherein said ribozyme cleaves either CDK4 or CDK2.
3. The ribozyme according to claim 1 wherein said ribozyme cleaves Cyclin D.
4. The ribozyme according to claim 1 wherein said ribozyme is composed of ribonucleic acids.
5. The ribozyme according to claim 4 wherein one or more of said ribonucleic acids are 2'-O-methyl ribonucleic acids.
6. The ribozyme according to claim 1 wherein said ribozyme is composed of a mixture of deoxyribonucleic acids and ribonucleic acids.
7. The ribozyme according to claim 1 wherein said ribozyme is composed of nucleic acids having phosphothioate linkages.
8. A nucleic acid molecule encoding the ribozyme of claim 1.
9. The nucleic acid molecule of claim 8, wherein the nucleic acid is DNA or cDNA.

10. The nucleic acid molecule of claim 8, under the control of a promoter to transcribe the nucleic acid.
11. A host cell comprising the ribozyme of claim 1.
12. A vector comprising the nucleic acid of claim 8.
13. The vector of claim 12, wherein the vector is a plasmid, a virus, retrotransposon or a cosmid.
14. The vector of claim 13, wherein said virus is selected from the group consisting of retroviruses, adenoviruses, and adeno-associated viruses.
15. The vector according to claim 13 wherein said vector is generated from two or more different viruses.
16. A host cell comprising the vector of claim 12.
17. The host cell according to claim 16 wherein said host cell is stably transformed with said vector.
18. The host cell according to claim 16 wherein the host cell is a human cell.
19. A method for producing a ribozyme, comprising providing DNA encoding the ribozyme under the transcriptional control of a promoter, and transcribing the DNA to produce the ribozyme.
20. The method of claim 19, wherein the ribozyme is produced *in vitro*.

21. The method of claim 19, further comprising purifying the ribozyme produced.
22. The method of claim 19, wherein the ribozyme is produced *in vivo*.
23. The method according to claim 19 wherein said DNA encoding a ribozyme is a recombinant viral vector which directs the transcription of said ribozyme.
24. The method according to claim 19 wherein said DNA encoding a ribozyme is a plasmid vector which directs the transcription of said ribozyme.
25. A method of inhibiting restenosis, comprising introducing into a cell an effective amount of the ribozyme of claim 1.
26. A method of inhibiting restenosis, which comprises introducing into the cell an effective amount of the ribozyme according to claim 1.
27. The method of claim 20 or 25 wherein the cell is a human cell.
28. A method of preventing restenosis, which comprises introducing into the cell an effective amount of the DNA of claim 8 under conditions favoring transcription of the DNA to produce the ribozyme.
29. The method of claim 28, wherein the cell is a human cell.
30. The method according to claims 26 or 28 wherein the ribozyme is delivered to the cell exoluminally, or, transluminally.

31. The method according to claims 26 or 28 wherein the ribozyme is delivered to the cell by catheter, stent, by a biodegradable polymer or sphere or in a pleuronic gel.

32. A pharmaceutical composition, comprising the ribozyme according to claim 1 and a pharmaceutically acceptable carrier or diluent.

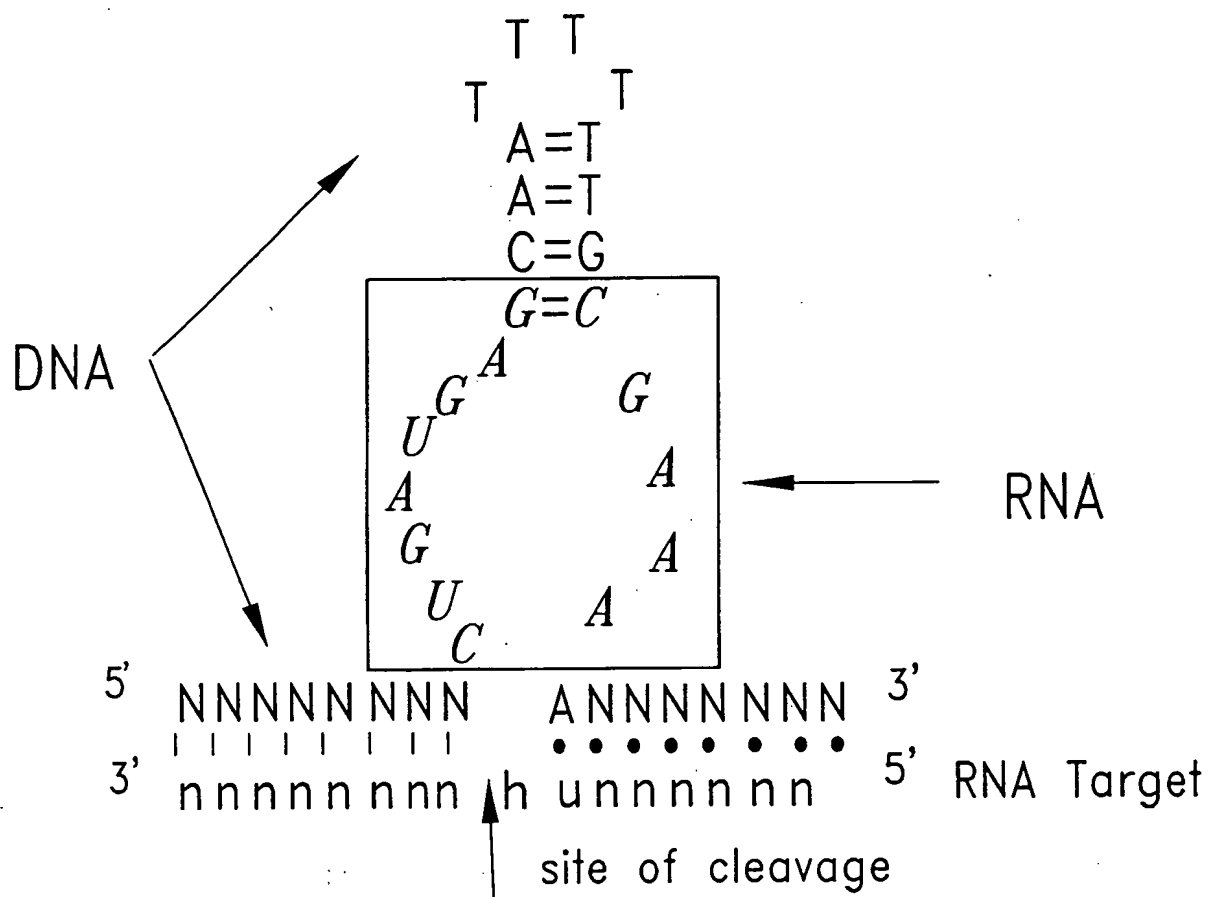
33. The pharmaceutical composition according to claim 32 wherein said carrier is a lipid.

34. The pharmaceutical composition according to claim 33 wherein said lipid is DOTAP:cholesterol.

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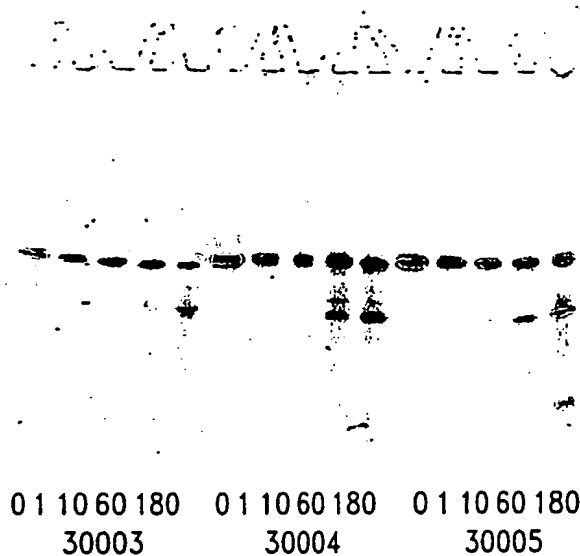


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*Fig. 1*

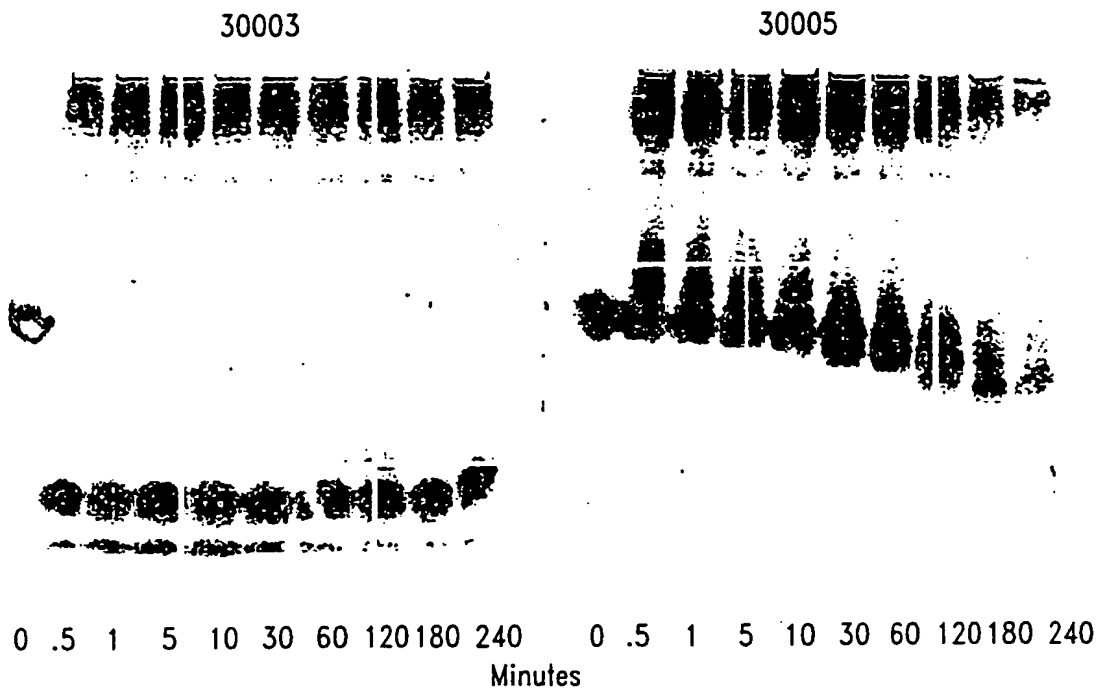
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*Fig. 2*

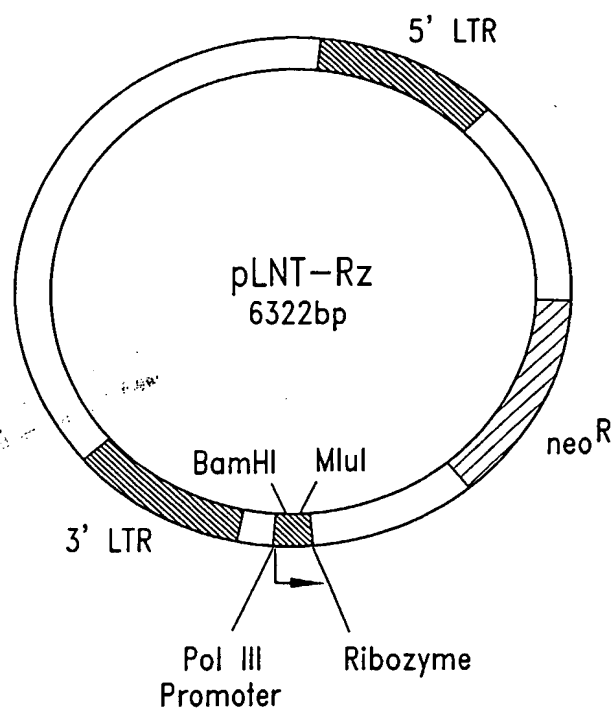
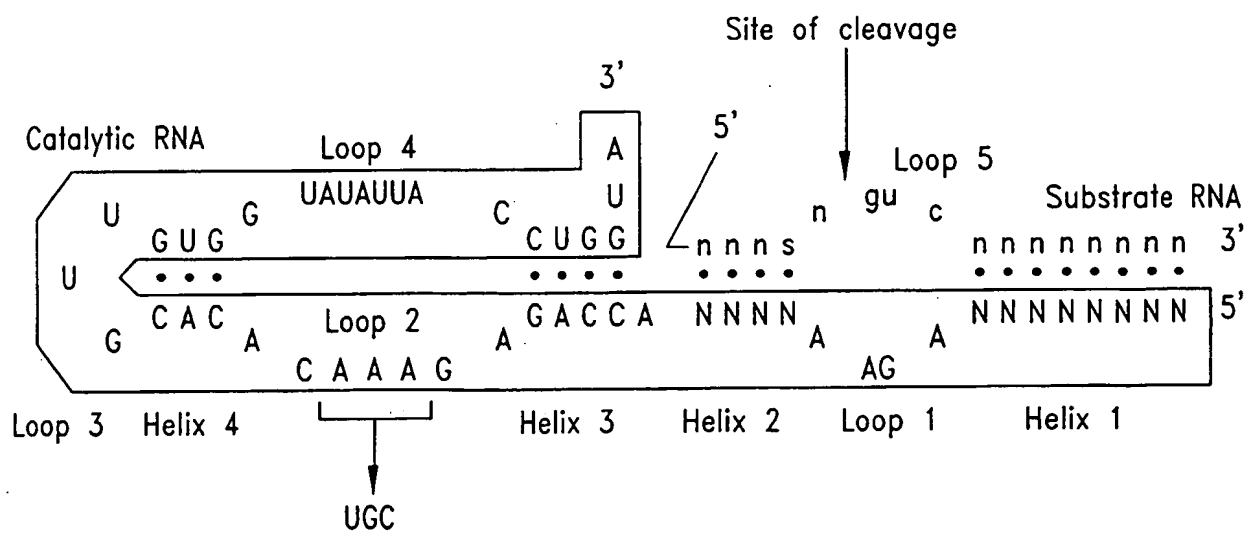
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*Fig. 3*

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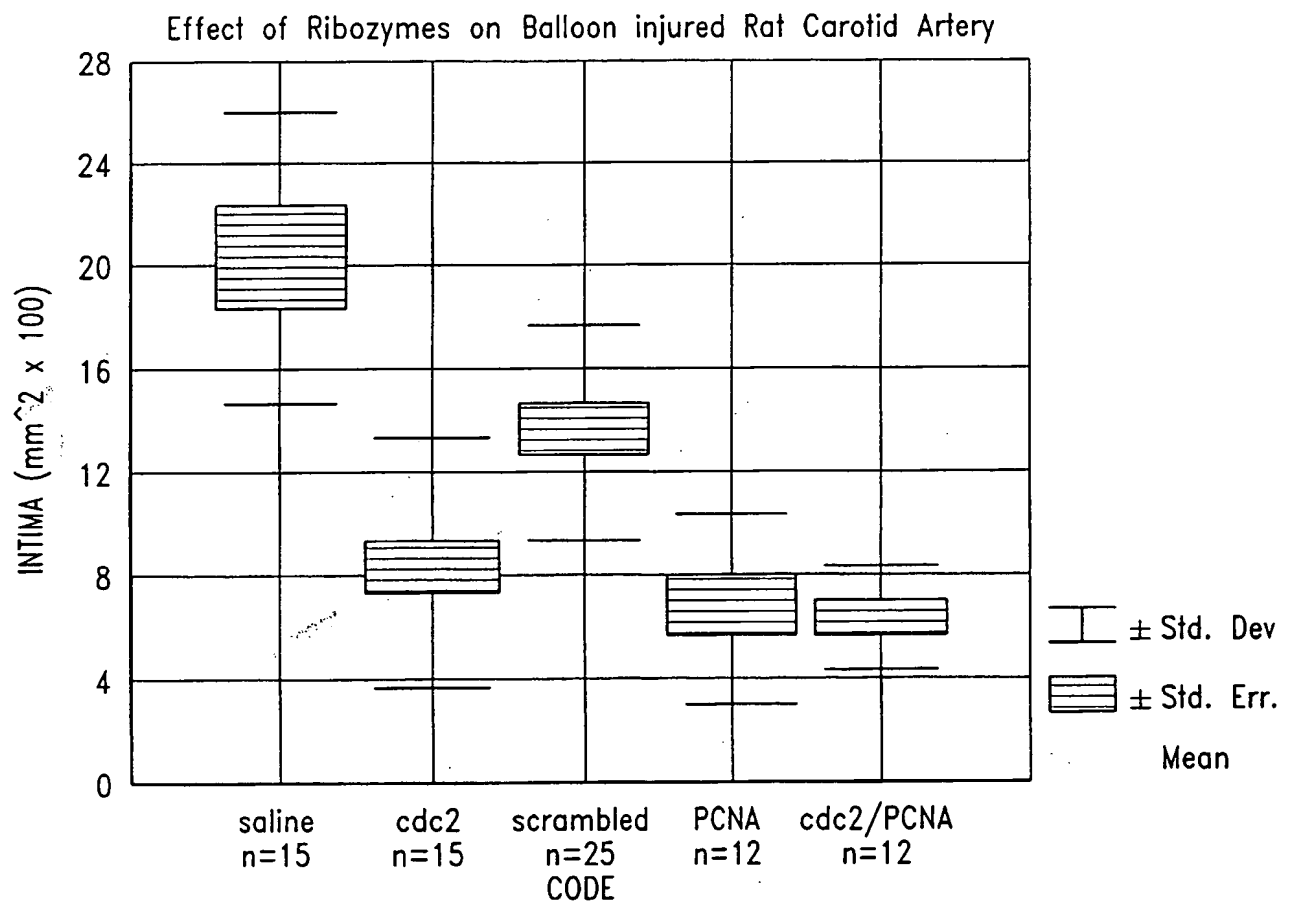
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*Fig. 4**Fig. 5*

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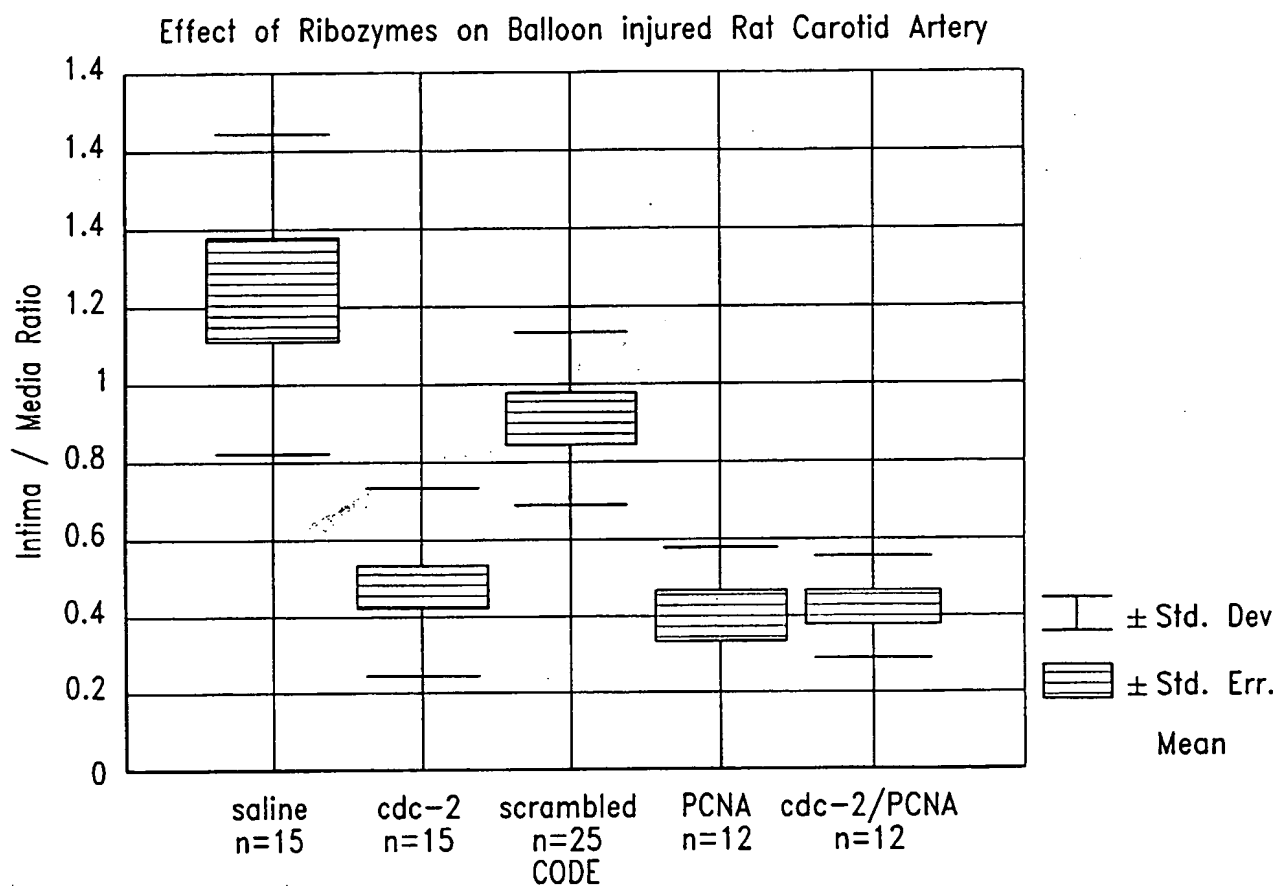
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*Fig. 6*

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*Fig. 7*

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